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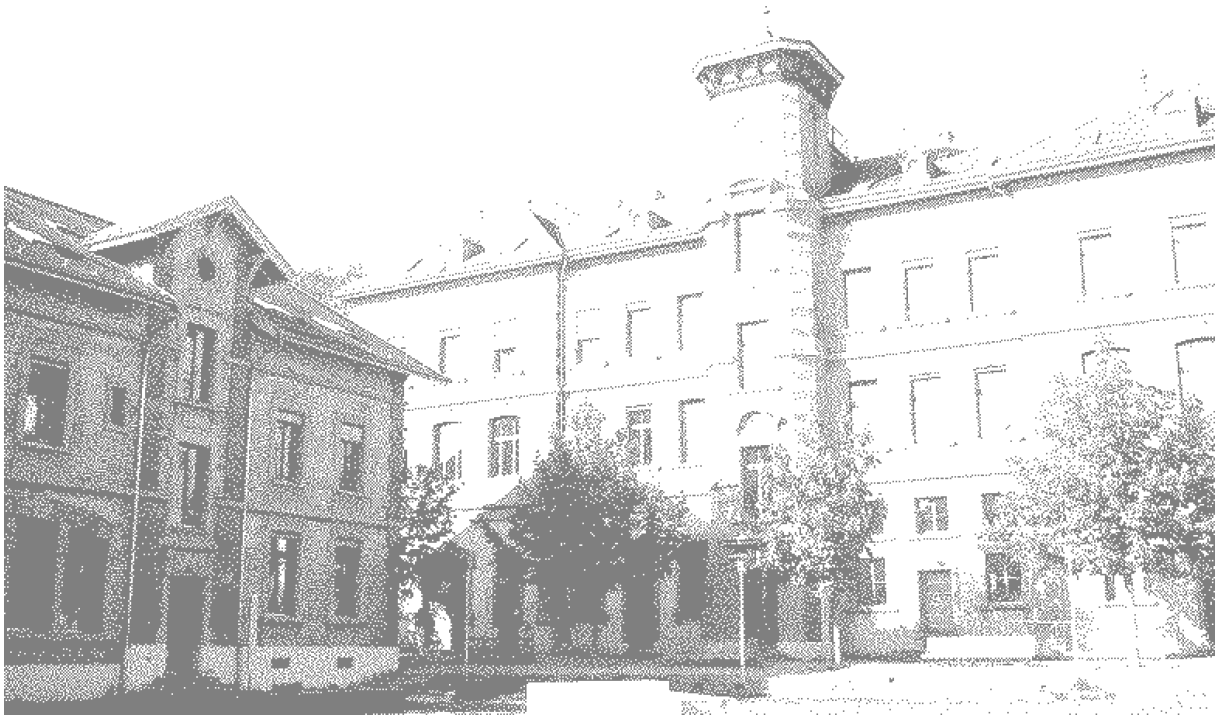

Dynamic SLA Management with Forecasting using Multi-Objective Optimizations

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Abstract

Cost-efficient operation while satisfying performance and availability guarantees in Service Level Agreements (SLAs) is a challenge for Cloud Computing, as these are potentially conflicting objectives. We present a framework for SLA management based on multi-objective optimizations. The framework features a forecasting model for determining the best virtual machine-to-host allocation given the need to minimize SLA violations, energy consumption and waste. A comprehensive SLA management solution is proposed that uses event processing for monitoring and enables dynamic provisioning of virtual machines onto the physical infrastructure. We validated our implementation against serveral standard heuristics and were able to show that our approach is significantly better.

Contents

1 Introduction

The efficient management of Service Level Agreements (SLA) is of particular importance for Cloud Computing, where exclusively-owned Virtual Machines (VMs) are allocated resources on hosts in a shared physical infrastructure [1, 2]. However, a multi-objective optimization problem for resource allocation arises for physical infrastructure providers, where the ability to deliver advertised levels of performance and capacity availability need to be maximized, while minimizing energy consumption and resource wastage.

Leading cloud service providers [3, 4] (of infrastructure or application services) use SLA management for specifying and maintaining the quality of service (QoS) and availability levels to their customers. An important phase of this process is allocation of resources including initial and runtime placement optimization.

Dealing with exclusively-owned virtual machine (VM) instances deployed on a shared physical infrastructure presents a greater challenge for each phase, given the multi-objective optimization problem introduced earlier, as well as the differentiation in demands from different classes of VMs and VM users. Furthermore, the violation of SLAs results in cash penalties for the provider, adding a direct economic dimension to the problem.

The main contributions of this paper are: (i) a VM to host allocation algorithm that considers the effect of the existing SLAs and monitoring data, (ii) usage of historical monitoring data to forecast the incoming load on both the physical and virtual infrastructure, in order to select the allocation that produces the highest profit contribution (maximize SLA satisfaction, minimize penalties, minimize energy consumption), (iii) extensive evaluation of the architecture in simulated cloud environments.

The remainder of the paper is structured as follows: section 2 presents the related work; in section 3 introduce the system model including the dependencies between the optimization criteria used for evaluating the cloud resource allocations, the cost model used for modeling the allocation and assumptions about the incoming requests. In section 4 we describe the genetic group allocation algorithm, the multi-criteria evaluator and forecaster. Section 5 summarizes the technical implementation of the resource allocator and evaluator together with our design decisions. Section 6 describes the experimental evaluation of the system, while section 7 contains our conclusions and future work.

2 Related Work

We classify the related work into three areas: (i) multi-objective virtual machine to server allocation algorithms [5, 6] (ii) forecasting algorithms in resource allocation [7, 8], and (iii) SLA violation prevention [9, 10].

Mazzucco and Dyachuk [5] propose an approach for allocating VMs to servers by considering energy efficiency aspects by controlling the number of running servers in the datacenter. However, they do not consider the case when a server could host multiple VMs. They also use a forecasting approach for estimating the arrival rate, similar to the one described in this paper, but only use the number of running servers as the means of saving energy without considering consolidating VMs into fewer servers.

Xu and Fortes [6] describe a multi-objective resource allocation algorithm using a group oriented genetic algorithm with a fuzzy averaged fitness function while we propose a cost based multi-objective evaluation function using forecasted utilization levels based on historical monitoring data. They also, only consider the initial allocation of virtual machines but neither the costs associated with the SLA violations, nor the possibility of oversubscribing the resources based on forecasted data.

Zhang et al. [7] describe a runtime balancing system which uses statistical forecasting to determine if a VM will experience high CPU or network utilization during either day or night period and use this information to place the VM on corresponding hosts, while our approach uses a triple exponential estimation for forecasting of resource utilization, considering also the data seasonal trends.

Caron et al. [8] propose using a string matching algorithm for forecasting resource utilization demand in cloud environments by identifying the VMs with similar characteristics. Our approach differs by the used forecast algorithm, which considers data seasonal trends, and by using predefined values for resource utilization of unknown VMs.

Emeakaroha et al. [9] propose using a reactive method based on case based reasoning for determining actions in case of SLA violations together with using low level monitoring metrics for determining when SLA will be breached. In contrast, we support dynamic allocation for VMs, together with migration as a means of preventing SLA violations by using forecasting of resource utilization based on historical monitoring data.

Gambi et al. [10] propose a model driven framework for SLA violation prevention using detailed predefined models of the interactions between the physical and virtual resources including the services running on the virtual machines. They also consider seasonal and utilization trends, but

do not take into consideration the cost aspect of the infrastructure, nor the impact on the energy consumption.

3 System Model

A typical cloud environment consists of $h \in H$ servers each with a given amount of CPU cores c_h, main memory m_h and available network bandwidth b_h. These servers will be used for hosting one or more virtual machines, which will use a predefined amount of server resources, as determined by the service level defined (e.g. standard, gold, silver) in the SLA agreement.
The purpose of SLAs [11, 12] is to define the guaranteed configuration [3] of the VMs in terms of CPU, memory and network bandwidth and to also specify their hourly utilization tariff. They can also be used for defining the penalties in case of SLA violation [3, 4]. As such, a dependency can be defined between the duration of the SLA violation with regards to a full month of utilization and a percent of the monthly bill which will be returned to the customer as a compensation for the suffered losses. This can be depicted using formula 1.

$$Penalty_{SLA} = \frac{a_i}{100}B,\ up \in (U_i^1, U_i^2],\ a_i \in [0, 100] \tag{1}$$

where i is the penalty level, as shown in table 1, $Penalty_{SLA}$ is the penalty cost calculated as a percent a_i of the monthly bills value B if the uptime (in percents) up has been between the thresholds U_i^1 and U_i^2. An example of such penalty calculation can be that 10% of the monthly bill will be returned to the customer if the uptime is between 99% and 99.95%.
The estimated costs of violating the CPU or network SLAs is given by the time interval while the sum of estimated CPU/network utilization of each VM exceeds the available resources of the hosts. Formula 2 determines the uptime value used in formula 1 for calculating the penalty value

$$\begin{aligned} up &= \frac{1}{T}\sum_{i=1}^{T/t_m} c_i^{cpu} c_i^{net} t_m \\ c_i^{cpu} &= \begin{cases} 1 & if\ u_i^{cpu} < U_i^{cpu} \\ 0 & otherwise \end{cases} \\ c_i^{net} &= \begin{cases} 1 & if\ u_i^{net} < U_i^{net} \\ 0 & otherwise \end{cases} \end{aligned} \tag{2}$$

where t_m is the monitoring interval, T is the billing period, u_i^{cpu} and u_i^{net} are the VM's CPU and network utilization level at the i^{th} monitoring time slot, U_i^{cpu} are the VM's host CPU and network utilization level at the i^{th}

monitoring time slot, c_i^{cpu} and c_i^{net} are the SLA complience indicators at the i^{th} monitoring time slot.

For a given server, it is possible to model its energy consumption as a linear dependency of the CPU utilization [5]. In case of multi-core/CPU servers the average CPU utilization of all cores can be used. As a consequence of the fact that the idle power consumption is almost 60% of the one at full load [5], keeping servers in idle state or at low utilization would produce low revenues due to the fact that the server will consume almost as much energy as running with high load, but will generate only low revenues, if at all. Formula 3 describes the energy costs calculation, as described in [5].

$$\begin{aligned} P_i &= P_{idle} + (P_{max} - P_{idle})\,\overline{U_i^{cpu}} \\ C_{energy} &= C_{KWh} \cdot T \sum_{i=1}^{T/t_m} P_i \end{aligned} \tag{3}$$

where P_i is the power consumption during i^{th} monitoring time slot, P_{idle} and P_{max} are the host power consumption at idle and full load, C_{KWh} is the energy cost per KWh and C_{energy} is the total energy cost during T time interval.

In a cloud environment, usually the VMs experience low CPU utilization, with 30% average [5] and usually having daily, weekly and monthly seasonality [13]. This helps to predict the resource utilization and do a better allocation by taking into account how much resources a VM will use, in fact enabling using the virtual machine live migration as a load balancing method [14].

The actual costs of VM migration can be expressed by formula 4 which is the opportunity cost caused by blocking resources on the destination host. We ignored the downtime which is usually in terms of seconds.

$$C_{migration}^{VM} = C_{uh}^{VM} \cdot t_{migration} \tag{4}$$

where C_{uh}^{VM} is the cost per hour of utilization of a VM and $t_{migration}$ is the estimation of time in hours needed for performing the migration. Migration time is estimated using a linear dependency [14, 15, 16] between the amount of VMs reserved memory and CPU utilization as expressed by formula 5. The formula could be extended with other factors, such as the average network bandwidth utilization, as the calculation method would

remain the same: applying the supperposition principle [17].

$$\begin{aligned} t_{migration} &= T_{idle}^{Mem} + \left(T_{f.load}^{Mem} - T_{idle}^{Mem}\right) \cdot \overline{u^{cpu}} \\ T_{idle}^{Mem} &= T_{idle}^{M_{min}} + \left(T_{idle}^{M_{max}} - T_{idle}^{M_{min}}\right) \cdot \alpha_{Mem} \\ T_{f.load}^{Mem} &= T_{f.load}^{M_{min}} + \left(T_{f.load}^{M_{max}} - T_{f.load}^{M_{min}}\right) \cdot \alpha_{Mem} \\ \alpha_{Mem} &= \frac{Mem}{M_{max} - M_{min}} \end{aligned} \quad (5)$$

where Mem is the amount of main memory reserved for the VM, $\overline{u^{cpu}}$ is the average CPU utilization of the VM at the migration time, T_{idle}^{Mem} and $T_{f.load}^{Mem}$ are the durations of the VM migration while it uses Mem amount of memory and its CPU is idling, respective, at full load. The T_{idle}^{Mem} and $T_{f.load}^{Mem}$ values can be either calculated by linear interpolation, by considering the time required for migrating a VM configured with the minimum, respective maximum amount of memory, at constant CPU utilization, either by directly measuring the live-migration time of a VM with the specified characteristics in terms of memory and average CPU utilization. The α_{Mem} is the percentage that Mem represents of the considered memory range [M_{max} , M_{min}].

Although the technical implementation of monitoring physical and virtual resources is not in the focus of this paper, they play an important role [18], especially the monitoring interval, t_m. The selected value of this parameter will be given in the implementation section.

We consider four objectives in our approach at allocating the virtual resources: maximizing the total revenues, minimizing the energy costs, minimizing the migration costs and also minimizing the SLA penalty costs. These different objectives are linked by a averaged objective function which will evaluate the resource allocations using the function as described by equation 6.

$$\begin{aligned} F_{avg}(obj) &= w_r \sum_{i=1}^{M} \left(C_{uh}^{i} \cdot T^{i}\right) - w_e \sum_{i=1}^{H} C_{energy}^{i} - \\ &\quad - w_m \sum_{i=1}^{M'} C_{migration}^{i} - w_p \sum_{i=1}^{M''} Penalty_{SLA}^{i} \\ obj &= [w_r, w_e, w_m, w_p] \end{aligned} \quad (6)$$

where obj is the evaluation objective composed of four weights: w_r for revenues, w_e for energy costs, w_m for migration costs and w_p for SLA penalty costs, F_{avg} is the averaged objective function, M is the total number of

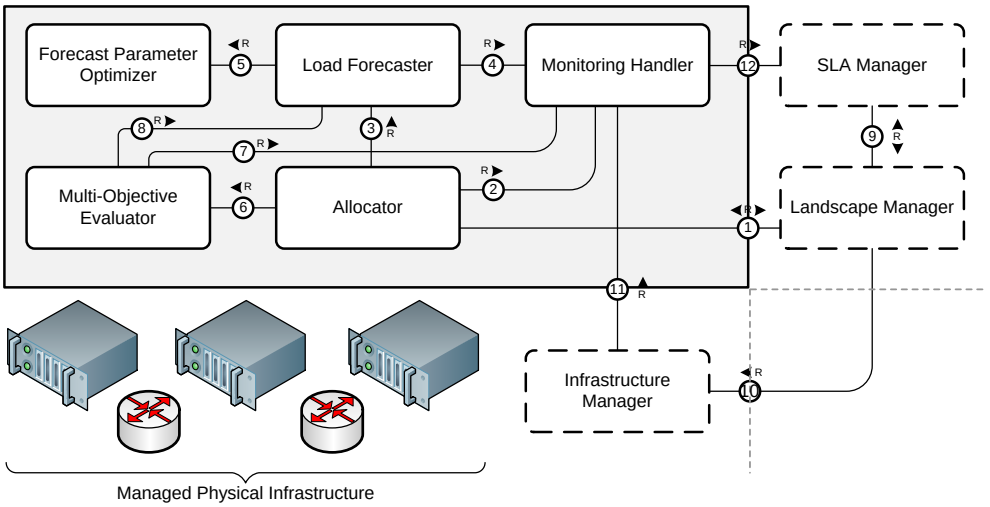

Figure 1: System Control Loop

VMs, C^i_{uh} is the per-hour utilization tariff of VM i, T^i is the utilization period of VM i during the given billing period T and M' is the number of migrated VMs, M'' is the number of VMs with SLA violations and $Penalty^i_{SLA}$ is the SLA penalty cost of VM i.

An example of a final objective could be maximization of the total profits, in which case each objective would have an equal importance, represented by giving each weight the value one. It might be the case that a provider might want to offer a 'green' service, with a strong emphasis on energy efficiency, in which case he will increase the corresponding weight of the energy costs. This, however, will affect the other objectives, for example, the costs with the SLA penalties, as the allocator might select fewer physical servers for running the VMs in order to decrease the energy costs. Another possibility would be to offer a performance-oriented service, in which case, the weights corresponding to the migration costs and SLA penalties would be increased, which would affect the produced allocations by using more servers and would raise the energy costs.

An efficient planning algorithm will try to find a global optimum for the allocation of VMs to hosts for a given billing period by maximizing the total revenues. Our proposed algorithm attempts to achieve this by performing a search of a local optimum with a time horizon of one billing period (e.g. one month).

The system functions in a control loop, as shown in Fig. 1. First, the re-

quests are prepared by the Landscape Manager and then are passed to the Allocator module (1) for determining how the virtual machines should be allocated on the physical infrastructure. The allocator uses the historical data from the Monitoring module (2) and the system load forecast (3) for producing an allocation of the VMs to hosts. The actual load forecast is determined using historical monitoring data (4), using of specifically fitted forecast parameters calculated (5) by the Forecast Parameters Optimizer module. Next, the allocation is passed (6) to the Multi-Objective Evaluator, which then uses both existing monitoring data (7) and the forecasted resource utilization data (8) in order to calculate the costs involved by the allocation. After selecting the allocation with the minimal costs, the Allocator returns it to the Landscape Manager which will then register the new virtual resources with the SLA Manager (9) and then will instruct the Infrastructure Manager (10) to actually provision the resources on the physical resources. The control loop is closed by returning of the monitoring data to the Monitoring Handler (11), followed by informing the SLA Manager about the resources' state (12).

4 Planning and Forecasting

The problem of allocating VMs to physical hosts can be seen as a bin-packing problem, which is known to be NP-hard, suggesting the need for a heuristic solution. Genetic algorithms are a class of heuristic solutions that can make use of multi-objective evaluation functions for searching solutions in multi-dimensional spaces.

Given the nature of the VM allocation problem, we selected a specialized version of genetic algorithms oriented at groups [19]. The group oriented genetic algorithm [20] operates on groups of objects, leveraging the fact that the VMs are naturally grouped by the servers on which they are deployed and thus maintains the previously determined good properties of the groups.

The solution space of our allocation problem is multi-dimensional due to the multiple objectives used for evaluating them, such as the costs of SLA violation, energy consumption, VM migration and the total revenues. As the problem of allocating VMs to physical hosts is a NP-hard combinational optimization problem, it is not feasible to demonstrate the optimality of a solution. In this case, the solutions produced can only be Pareto-optimal [21] meaning that a criterion needs to be applied in order to select a solution. In our case, the criterion used for selecting a solution is the value of the predicted total profits of the allocation, considering the costs of the SLA violations, energy consumption and VM migrations.

Given that the average CPU utilization of a VM hosted in a data center is usually around 30% [5] it is common to overcommit the CPUs of the physical hosts with factors between 1.5 and 3 [5, 22]. For example, OpenNebula [23] allows allocating virtual CPUs to a fraction of the available physical CPUs, using support of Xen and KVM [24] hypervisors. This, however, could lead to violating the SLAs in case that the collocated VMs simultaneously experience high CPU utilization [22]. Given the fact that a server uses almost 60% [5] of the total power consumption when running idle, distributing VMs across a large number of servers results in poor server utilization levels and would hence also diminish revenues due to the high amount of energy used per VM. Our genetic algorithm allocator with load forecasting mitigates these problems for VMs with an existing monitoring history, by choosing the best current allocation that minimizes the costs of the allocation at the next time step, given that domain-specific constraints from the application are not breached.

Although it is possible to overcommit both CPU [22, 25] and memory [26, 25] in modern hypervisors, we are considering only oversubscription of the

CPU, as the oversubscription of memory is usualy associated with system instability [25].
Below, the basic structure of the genetic algorithm used is given.
Step 1: Generate the initial population

1. randomly allocate genes (VMs) to groups (servers) using the first-fit heuristic

2. ensure the chromosome is valid with regards to VM's allocated memory by reinserting the excluded genes in the groups using the first-fit heuristic

Step 2: rank and sort the population using the fitness function defined in equation 6
Step 3: keep an elite number of chromosomes
Step 4a: generate an offspring using crossover with a given probability

1. select two chromosomes from the population using fitness proportionate selection

2. generate offspring using the group-crossover operator

3. ensure the chromosome is valid with regards to VM's allocated memory by reinserting the excluded genes in the groups using the first-fit heuristic

Step 4b: or select the fittest of the parents
Step 5: mutate the offspring with a given probability
Step 6: rank and sort the population using the fitness function defined in equation 6
Step 7: If the stopping criterion is reached, terminate the search and return to the current population, else, go to *Step 3*.

The group oriented genetic algorithm [20] searches for solutions by generating populations of chromosomes composed of genes which belong to groups. In our implementation, a chromosome encodes an allocation by representing the groups as hosts and the genes as VMs packed onto a given group. The initial population is created by applying a heuristic algorithm, such as first-fit. Next, the population is sorted according to the multi-objective fitness function. At each step the algorithm performs two group oriented operations on the current population: crossover and mutation (described below). Top 10% chromosomes from the current population are passed into the next population as elitism

seems to improve solution convergence [21]. We use two criteria for ending solution searching. First is determining when there are no more improvements, or they are below a certain threshold, in the overall fitness value of a population. Second involves finding when a solution is not possible (e.g. the profits generated by the new allocation are lower than the initial allocation due to the costs of SLA violations, VM migrations and energy consumption).
Each allocated group inside each chromosome needs to have another local allocation applied for determining the allocation of physical CPU cores to the VM cores. This is achieved by running a similar genetic algorithm as the one used for allocating VMs to hosts. The allocation is being performed every time a group is changed.
The evaluation of each chromosome is performed by calculating the predicted energy costs for the allocation (using a linear power model [5] combined with forecasted CPU utilization data), revenues generated by the allocation assuming one period of utilization, predicted costs caused by CPU/network SLA violations (determined using forecasted utilization data) and costs associated with VM migrations - which are the values of the objective functions. These values will then be combined by the averaged objective function, as described by equation 6.
The next step consists of applying the roulette selection [27] for identifying two possible candidates for producing the new chromosome. With a given probability () either the group-crossover operator is applied for producing a new offspring, or the fittest chromosome if selected. After this, with a given probability, the mutation operator is applied to the offspring, before adding it to the new population.
After the population has been created, it will be re-evaluated and the process is repeated until the stop condition is encountered.
The group-oriented crossover genetic operator functions by retaining the qualities of the groups and selecting from each chromosome the corresponding group with the highest fitness value, thereby preserving the good allocations. After all groups have been processed it is possible that there are unallocated VMs. For these a 'healing' process is applied by redistributing them according to first-fit-descending heuristic.
The mutation operator is applied by randomly removing a VM from a CPU or network oversubscribed host and re-allocating it according to the first-fit heuristic. A key component of the planning system is the forecasting module used by the allocator algorithm in evaluating the fitness of various VM to host distributions based on the forecasted VM request rate, CPU core and network utilization. Given the fact that both the VM request and resource utilization distributions experience daily, weekly or monthly pat-

terns [13] we have selected the Holt-Winters algorithm [28] for performing triple exponential smoothing of the utilization data.
The Holt-Winters algorithm performs an exponential smoothing of the data by assigning exponentially decreasing weights to the past data comprised of a period, considering also the data trend and seasonality. The seasonality refers to repeating of a data pattern after a given number of periods, called season. The trend refers to the tendency of data to either increase or decrease in the long term. In our case, the monitoring data obtained from the VM's CPU and network utilization, as well as the number or VM requests, experience seasonality with daily and monthly patterns [29].

Penalty [%]	Lower availability limit [%]	Higher availability limit [%]
10	99	99.95
25	95	99
50	-	95

Table 1: SLA penalties model

5 Implementation

Three implementation of allocator algorithms were implemented in Java for the planner system: First Fit [30] Descending, Best Fit Descending and the Genetic Group-oriented with Forecasting. The actual Holt-Winters forecasting is delegated to an external implementation of R Statistical Computing [31]. The forecasted series are kept in a memory cache, as the prediction values are reused multiple times by the genetic allocator.

Our system makes some assumptions about characteristics of the incoming load, such as the distribution of load according to hourly and daily patterns, having one service instance per VM and though having a predictable trace of CPU and network utilization. We assume that the VM network utilization data refers only to inter-hosts traffic but not to the intra-host traffic of the collocated VMs; and that the VM memory is always reserved all at once, while the VM's CPU cores are allocated to the physical CPU cores using the affinity mechanism [32]. We assume that the monitoring samples are collected every 5 minutes, in order to keed the generated data volume to a manageable value.

While the assumption of having just one type of service per VM seems restricting, this might be needed in an environment with automatic scaling in order to enable taking the decision on when the service should be scaled, based on previously agreed SLAs. This, however, does not prevent the existence of composite applications containing multiple services. An example of such application, with which we experimented, is an Enterprise Information System (EIS) composed of a load-balancer, a session handler, multiple workers and a storage service. We will describe in a future paper how the SLA-based System Landscape Orchestrator works to perform the dynamic instantiation, configuration and scaling of the services. Even if there are multiple service instances per VM, this does not change the nature of the VM-to-Host allocation problem, as the the resource metrics would remain the same.

The penalty model used for calculating the costs of violating the CPU or network SLAs is described in Table 1. The penalty in percent refers to the amount of the current bill that will be paid to the customer in the next moth if the SLA availability is between the lower and the upper bounds. This implies that the target SLA availability is 99.95
For estimating the VM migration duration (Fig. 2) we used a linear model, validated against experimental data [15, 16], dependent on the amount of reserved memory and on the average CPU load of the VM. The figure represents the linear dependency between the VM migration time and the amount of reserved memory for when the CPU utilization is near 0 (idle) and almost at 100% (full load). The actual migration time is determined by interpolating the time for the average CPU load using the values for the migration at idle and full CPU utilization. Also, we model the live-migration impact of the VM by increasing the load of the VM's CPU with 10% over a monitoring period, and also increasing the network bandwidth utilization with the value amount required for transferring the VM's reserved memory.

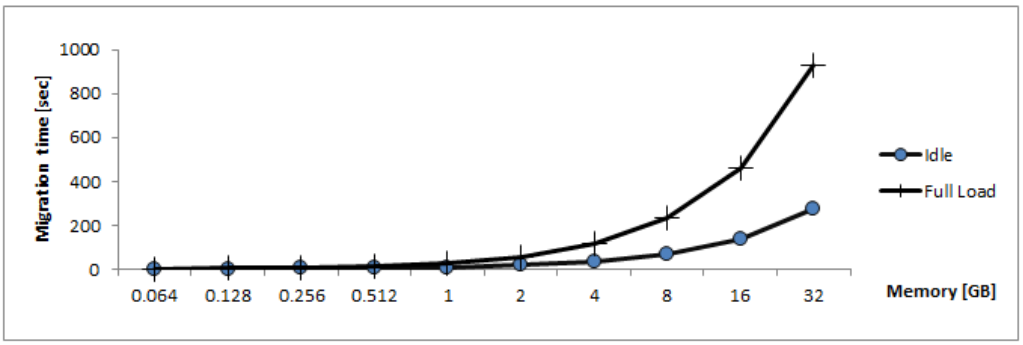

Figure 2: Migration time vs. memory and CPU load

6 Evaluation

We conducted a number of simulations for validating the system's characteristics with regards to the multi-criteria optimization of VM allocation. For this purpose we used synthetic generated VM request traces with seasonal distributions (matching Fig. 3) in order to load the system. For each VM we generated CPU and network traces with which we fed the monitoring and forecasting modules. We also varied the amount of noise added to the traces between 30% and 90%, in order to test the system stability.
In order to test the multi-objective evaluator, we selected the scenario in which the provider wishes to maximize his profits and so we assigned the value of 1 to all four weights descriebed in section 3.
We simulated a month of VM requests including adding new VMs and removing existing ones and compared the SLA violations and energy efficiency of the allocations produced by the first-fit algorithms and the group-oriented genetic algorithm with forecasting. The actual calculations for determining the costs of SLA violations and energy consumption were performed using the generated monitoring data and not the forecasted data.

For further testing of the algorithm's stability, we varied the genetic its parameters considering three different population sizes coresponding to one, two and four utilization weeks, four values for crossover probability (0.3, 0.5, 0.8 and 1) and the same four values for mutation probability. The results were consistent with the ones described below.
Our simulated infrastructure was composed of 10 hosts each with quad-core CPUs, 16 GB of RAM and Gigabit networking. We varied the number of VMs between 10 and 50. Our results (Figure 4) show a consistent 100% reduction of network-SLA penalties together with a 30% reduction in CPU-SLA penalties, at the expense of below 1% of the revenues used for live migration. The total profits generated by using the GA allocator were in average 50% higher than the ones generated by the FF allocator. Also, the GA allocator distributes better the load across the infrastructure, leading to a more uniform host utilization, lowering of the total energy consumption and reducing host wear.

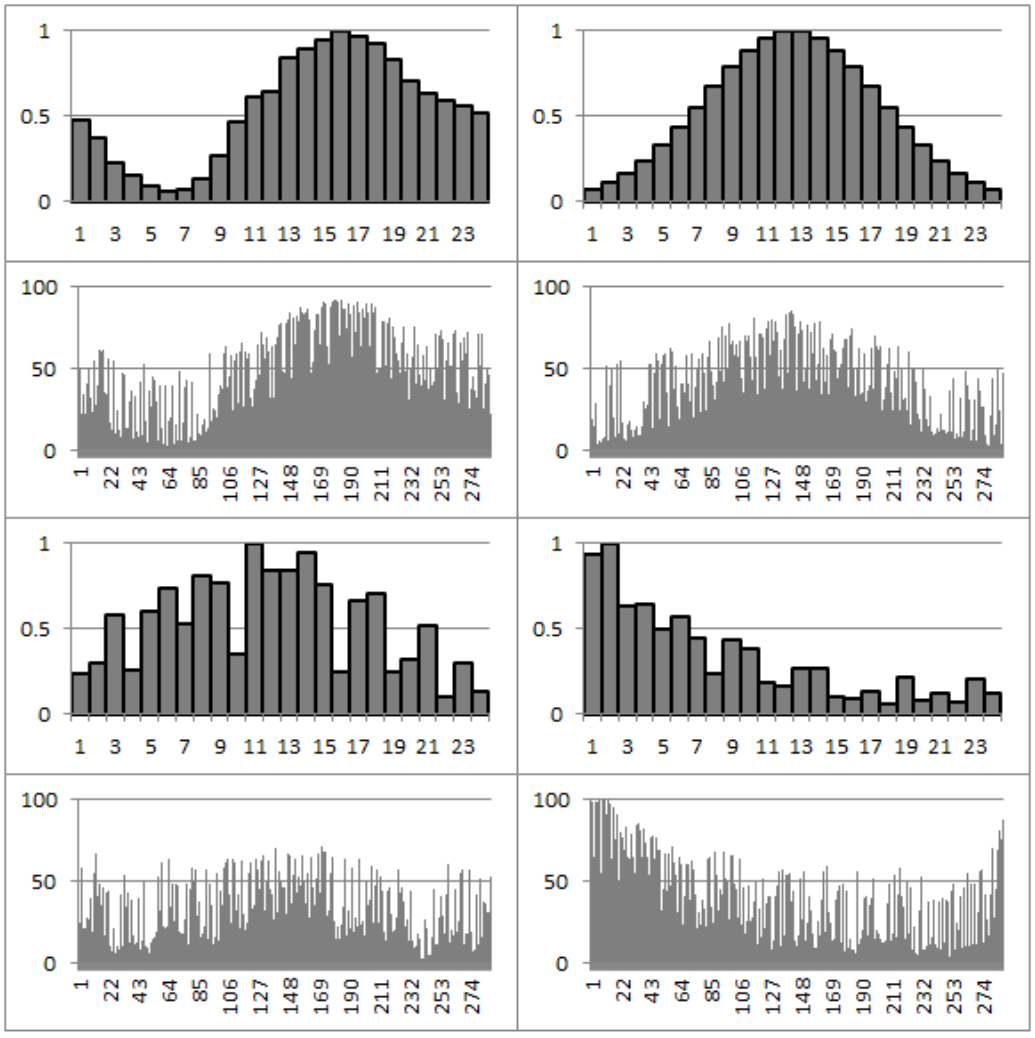

Figure 3: Distribution of resource utilization: above, trend per hour, below, trace per 5 minutes time slot

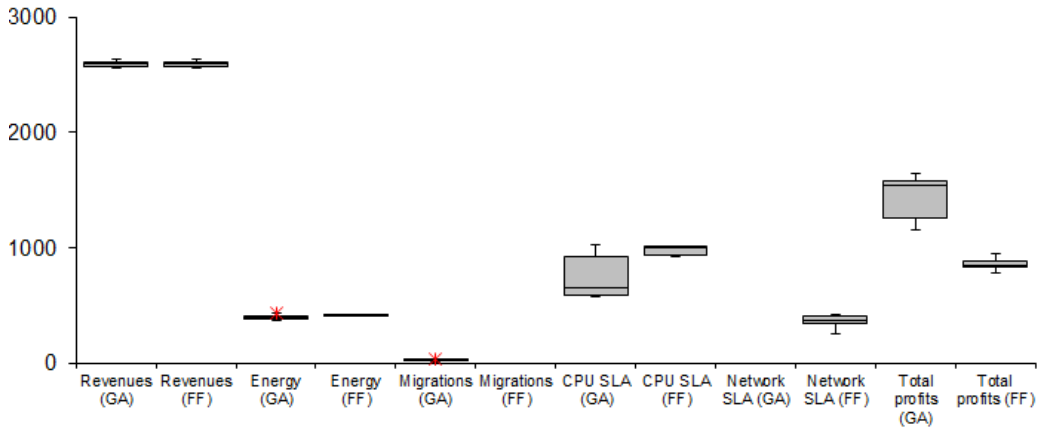

Figure 4: Cost and profit distribution for GA and FF allocators

7 Conclusions

We described a system for supporting the planning and load distribution disciplines of SLA management while taking into consideration multiple objective optimizations and the impact of SLAs into resource provisioning and into dynamic scaling of the virtual infrastructures. We proposed a way of combining resource utilization estimation, with cost prediction and impact of the infrastructure operations for implementing the complete set of disciplines used in SLA management. We validated our model using simulation data and we were able to show that our proposed resource allocation approach significantly outperforms several standard heuristics. We plan to extend our work to more complex scenarions as the ones found in enterprise information systems and to compare the results against more sophisticated algorithms.

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